



# ANNUAL REPORT 2012



# About Us

## **Trustees**

David Kingston (Chairperson)

Eddie Shaw

Joan O' Flynn

Kieran Bristow

Dermot McCarthy

## **Legal and Financial:**

Brian Little – Treasurer

Crawford Tipping F.C.A. – Auditor

Tilman Brewin Dolphin – Investment Managers

## **Development Director:**

Noelle Spring

## **Contact Details:**

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## **Mission**

The Katharine Howard Foundation (KHF) is an independent Foundation focused on improving the lives of young children and their families. The Foundation's work is underpinned by a commitment to equality and overcoming disadvantage and to promoting equality of opportunity for all children.

The Foundation's approach involves working with others in identifying needs, building on existing programmes, making grants and sharing the learning. KHF aims to contribute to the development of policy and practice, particularly in the early years and family support sectors.

## Background:

**The Katharine Howard** established in 1979 by Katharine survivor of the Earls of Wicklow and Co Wexford. She set up the Trust who agreed to support her by intention was to support locally based activities. She was a founder member was involved in other voluntary



Camphill community that assisted people with disabilities and others, involving environmental projects. Initially she allocated £20,000 to her fund and gradually added more modest funds to the Trust which continued distributing small amounts of funds until her death in 1990. Subsequently her estate and that of her American grandmother was then added to her endowment funds and this greatly increased the funding that was available. These funds were then carefully invested by the Trustees who also expanded the organisation and sought advice to become strategic and focused about its grant making.

Since the early 1990's the Foundation has operated as an independent all-Ireland grant-making Foundation with a particular emphasis on supporting community projects and initiatives in areas that are socially disadvantaged, particularly those with a focus on families and young children. The work of the Foundation includes:-

- Advocacy and development work;
- Providing funding through small grants;
- Commissioning and co-funding research.

KHF places much emphasis on working in partnership with statutory, community and voluntary organisations and other funders. This partnership approach has proved successful over the years and has affirmed the Foundation's developmental approach to its work in engaging in special projects especially in the area of young children and family support.

Some of these initiatives have included:-

- The Community Playgroup Initiative (2001-2004) in partnership with the South Eastern Health Board;
- Tallaght West Small Grants Programme (2004-2006) in partnership with The Atlantic Philanthropies;
- The Parent and Toddler Group Initiative (2006-2009) in partnership with the Office of the Minister for Children.

Through its general and small grants programmes KHF has built in a support role to accompany the grants where possible. The impact of providing funding along with accessible support has strengthened the partnerships made by KHF within the sectors it operates in.

### **What does KHF Fund?**

The current economic downturn has had an impact on the financial circumstances of KHF, which resulted in its annual income from endowment funds decreasing from 2007 to 2011. This resulted in the Foundation's decision to temporarily suspend its grants programme (although some grants were allocated during this period) and to review and refocus its work. This has strengthened the Foundation's resolve to combine its resources with others to make the most of its potential impact. It also continues its commitment and support to other related priority work through its Development Director. This work includes a number of specific funding programmes such as the:-

- Prevention and Early Intervention Programme, operated by The Atlantic Philanthropies and the Department of Children and Youth Affairs;
- Joseph Rowntree Charitable Trust, Ireland Programme;
- National Early Years Access Initiative, operated by Pobal on behalf of The Atlantic Philanthropies, the Mount Street Club Trust, the Departments of Children and Youth Affairs and Education and Skills.

KHF also worked closely with other Foundations such as the Community Foundation for Ireland, the Cork Street Fund, the Ireland Funds and the St Stephen's Green Trust whereby some co-funding arrangements have resulted in organisations receiving the funds they require to operate certain projects. The time away from direct grant provision has allowed space for reflection and a considered review of the best approach to funding in a more challenging financial environment.

KHF underwent a process of reviewing its strategic objectives for the next three years (2013-2016) and has recently agreed a new plan. It is clear from this process that the Foundation will continue to operate its' developmental approach to grant making within its primary area of expertise (early intervention through supporting children and families) and to continue to work with other partners, where possible.

KHF is finalising plans for an Early Years Strategic Grants Programme for 2013 - 2016 in partnership with the Community Foundation for Ireland with a focus on supporting the parents of children from birth to three years. KHF will also provide some other small grants for projects that are associated with its strategic objectives.



## Trustees:

### **David Kingston (Chair)**

David Kingston has spent his working life in insurance, investment and pensions. Originally from Co. Derry, he went to university in England and qualified as an actuary in Scotland before moving to Dublin in 1968. He has a special interest in equality, particularly equality of opportunity. He has been a Trustee of the Katharine Howard Foundation since 1998 and held the position of Chair since 2004.



### **John Cunningham**

John has worked as a senior solicitor in the areas of probate and trust law, family law, wills and conveyance. He is an active member of the Dublin Solicitors Bar Association and the Society of Trust and Estate Practitioners. Recently retired from Beauchamps Solicitors, John remains a consultant in the private client department. He has been a Trustee of the Katharine Howard Foundation since its formation in 1979.



### **Noreen Kearney**

Noreen Kearney was educated at University College Dublin and the University of Edinburgh and qualified as a Psychiatric Social Worker in 1962. She worked as a Psychiatric Social Worker in Co Antrim and Co Dublin and subsequently held a post of Lecturer in the Social Studies Dept. of Trinity College Dublin from 1973 to 2001. Noreen was a member of several national and international committees that worked towards the advancement of Social Work Education in Ireland. Her academic work was augmented by a policy advisory role such as the Social Work Advisor to the Eastern Health Board for a number of years. Noreen was appointed as a board member of numerous agencies; such as the Combat Poverty Agency, the Eastern Health Board, Leopardstown Park Hospital, the Meath Hospital and the Rotunda Hospital. She became a Trustee of the Katharine Howard Foundation in 1999 and remained until October 2012.



### **Mary Higgins**

Mary has over forty years experience in the delivery, design and management of services for groups who are socially disadvantaged and in advocating for changes in policy and legislation to address the underlying causes of disadvantage. She has worked in the Homeless Agency, Threshold, Emigrant Advice and Cherish



and as an independent Management and Social Policy Consultant. Mary became a Trustee of the Katharine Howard Foundation in 2005. She is also a member of the Implementation sub-committee.

### **Eddie Shaw**

Eddie has worked at a senior level in the Financial Services sector where he held a number of Senior Executive positions for most of his working life. These included general insurance and capital markets - fund management. From 1996 to 2005, Eddie was a member of the Board of the National Safety Council (and Chairman from 2000 to 2005) and the High Level Group on Road Safety. Eddie is Head of Public Relations with a Communications Company since 2006. He became a Trustee of the Katharine Howard Foundation in 2005. He is also a member of the Finance and Investment sub-committee.



### **Joan O' Flynn**

Joan O'Flynn has wide ranging experience in social inclusion research, policy and practice gained through working in the community sector in Britain and in the civil and public service and voluntary sector in Ireland. Joan's former roles include Director of the National Advisory Committee on Drugs, Head of Communications and Public Education with the Combat Poverty Agency and National President of the Camogie Association. Joan became a Trustee of the Katharine Howard Foundation in May 2012. She is also a member of the Implementation sub-committee.



### **Kieran Bristow**

Kieran Bristow has worked his entire career in Financial Services including General Insurance, Life Assurance, Investment Management and currently as a Bank Treasurer. He has been a Trustee representative on a school board with specific responsibility for Finance. Kieran became a Trustee of the Katharine Howard Foundation in May 2012. He is also a member of the Finance and Investment sub-committee.



# Chair's Report 2012



I am pleased to present the 2012 Annual Report and Account Summary for the Katharine Howard Foundation (KHF), established in 1979.

Over the past 34 years KHF has gone through a number of phases which have coincided with various developments in the operation and governance of the Foundation.

The most notable period of change was in 1999 when the Trustees decided to formalise the Foundation's operations through the employment of a small staff support team and shifted its focus from being a reactive small grant maker to being more pro-active in its approach through targeted grant programmes and funding initiatives. This report concentrates on the most recent phase of our work (2010-2012) and outlines our main activities and learning during these past three years while also building on the work that took place over previous years.

The Foundation, as an independent Irish grant-making Foundation, has a particular emphasis on working with children and families by supporting community projects and initiatives in areas that are socio-economically disadvantaged. KHF had implemented a number of strategic grant programmes since 2001 including the Community Playgroup Initiative (2001-2004) and the Tallaght West

Small Grants Programme (2004-2006) as well as providing Community and General Grant Programmes. KHF, through this work, developed a positive track record of working in partnership with statutory, community and voluntary organisations and other funders. This partnership approach proved successful over the years and helped the Foundation to meet its objectives.

Through its development work and the provision of small grants, KHF also identified needs and gaps in services and supports for children and families. The Foundation's most recent grant programme, the Parent and Toddler Group Initiative 2006-2009, drew on KHF's work and experience over the previous 10 years of funding parent and toddler groups through small grants. The Initiative was developed in partnership with the Office of the Minister for Children and Youth Affairs (OMCYA), as a special project within the National Childcare Investment Programme (NCIP) 2006-2010. The aims of the project were to design a framework of funding and support for parent and toddler groups in Ireland and also to develop mechanisms for the transfer of the funding and support to parent and toddler groups through the 33 City/County Childcare Committees (CCCs).

The Initiative gave KHF the opportunity to provide strategic small grants while influencing child and family policy. The



partnership approach to planning and developing the Initiative with the OMCYA ensured that these grants would be sustained into the future as they became part of ongoing CCC activity. Through the Initiative grants totalling €451,118 were provided to 569 groups over a two-year funding period.

For KHF the Parent and Toddler Group Initiative highlighted many areas in relation to its role as a grant making Foundation such as the impact that small grants can have by way of providing much needed practical support to groups within communities. It became clear that there is better impact when grants are planned and administered in a flexible way



that responds to the actual needs and timeframe of groups. In addition, the benefits that can arise from tracking and monitoring the funding to groups provide invaluable information and insights about the work and development of funded groups. The initiative was very successful from the Foundation's perspective as it confirmed its view that parent and toddler groups provide important informal support to parents, grandparents and childminders, as well as to young children. These groups are an important source of social contact and family support especially for

parents of young children and families new to an area. They can also create important learning opportunities for the children who attend them and provide 'stepping stones' that help to prepare children for the transition to pre-school education.

In 2010, the Foundation decided to review and refocus its work due to two factors. These included the building on the learning from the previous years and also the impact of the changing economic climate and the reduced annual income from the Foundation's endowment fund. KHF also wished to prioritise its commitment and support to a number of key areas of work through its Development Director. This was in recognition of the importance of its development approach in meeting its objectives and wanting to enhance and prioritise this role.

These commitments included a number of specific funding programmes such as those operated by the Dept of Children and Youth Affairs, The Atlantic Philanthropies, Joseph Rowntree Charitable Trust and the Mount Street Club Trust. KHF also worked closely with other Foundations such as the Community Foundation for Ireland and the St Stephen's Green Trust whereby co-funding arrangements were instigated towards specific grants. In addition KHF networked with other organisations in the Philanthropic sector such as the Cork Street Fund and the Ireland Funds and other members of Philanthropy Ireland. KHF also continued to support the work of the Childhood Development Initiative in Tallaght

West (which is one of the three Prevention and Early Intervention Programmes (PEIP) sites) as a board member and took on the role of chair of the CDI board from 2009 until June 2012.

The time away from direct grant provision also allowed for reflection and a considered review of the best approach to funding in a more challenging financial environment. KHF underwent a process of reviewing its strategic objectives for the next three years (2013-2016) and has recently developed and agreed a new strategic plan. It was clear from this review and planning process that the Foundation should continue to operate its' developmental approach to grant making within its' primary area of expertise (early intervention through supporting children and families) and to continue to work with other partners, where possible.

KHF's plans for the next three years include the development of an Early Years Grants Programme (EYGP) which is a collaborative partnership between the Katharine Howard Foundation and the Community Foundation for Ireland. This is a three year (2013-2016) strategic grants programme with a focus on children from birth to three years and their parents, with a particular emphasis on supporting parents in their parenting role. The EYGP will aim to support a number of projects and initiatives in socio-economically

disadvantaged areas engaged in collaborative approaches to working with parents of children from birth to 3 years. The first phase of the new grants scheme will be in September 2013. The Foundation will also provide once off support grants to meet with the objectives of its strategic plan and progress and enhance the work in the early care and education sector in supporting children and families.

KHF will continue to fulfil its commitments to key organisations and groups aligned to its work including the Joseph Rowntree Trust, the National Early Years Access Initiative (NEYAI) and Start Strong. It will maintain its networking and collaborative role with other agencies and Foundations including Philanthropy Ireland and the Association of Charitable Foundations (UK).

Towards the end of the past year, a long serving Trustee, Noreen Kearney retired from the Board. We will miss her and thank her for giving such great support. We are also glad to welcome two new Trustees: Joan O' Flynn and Kieran Bristow. All of the Trustees appreciate the great work and dedication which the Development Director, Noelle Spring brings to the Foundation. A lot has been achieved with modest resources.

**David Kingston, August 2013**

# Development Director's Report



**Noelle Spring**

Over the past 3 years the focus of KHF's work and that of the Development Director has included two main categories; the allocation of small grants and key areas of involvement with organisations working in both the early year's sector and the Philanthropic sector. This section of the report provides a summary of this work.

## **Information on grants allocated 2010 - 2012 and the level of funding allocated**

Since 2010 a number of strategic grants were made to support work in the Early Years' sector – including some grants which were multi-annual. Some of the organisations were funded in previous years either within a specific programme or through the general grants programme. Most of the organisations were connected in some way with other work of KHF. Some of this work involved securing matching or co-funding from other Foundations and or Trusts.



<b>Grants Awarded 2010</b>		
<b>Name of Organisation</b>	<b>Grant Purpose</b>	<b>€</b>
Alfa Project Scariff Co Clare	Towards the provision of full-time education for a group of young people aged 14-15 years who are outside of the school system	€750
Cork Counselling Centre Father Matthew Street Cork	Towards a School Suicide Prevention and Positive Mental Health Programme	€750
Preparing for Life (PFL) Darndale Dublin 17	Towards the mentoring of Sólta - the National Quality Framework within Early Year Services	€3,000
Six Steps Together Parenting Project West Tallaght Dublin 24	Towards the support of parents training as facilitators of the Six Steps Parenting Programme	€1,800
West Dublin YMCA Parents and Kids Together Programme (PAKT) Tallaght Dublin 24	Towards music for children aged 14-18 years as part of a building self-esteem programme	€750
Symposium on Child Well-being University College Dublin Dublin 4	Towards an International Symposium on Child Well-Being in conjunction with the Office of the Minister for Children & UCD	€6,500
Start Strong Dublin 2	Towards the formation of a new advocate organisation for increasing the investment in the early years	€10,000
<b>Total 2010</b>		<b>€23,550</b>

<b>Grants awarded 2011</b>		
<b>Name of Organisation</b>	<b>Grant Purpose</b>	<b>€</b>
Cork Counselling Centre Father Matthew Street Cork	Towards a School Suicide Prevention and Positive Mental Health Programme	€750
Start Strong Dublin 2	Towards core costs of an advocate organisation for increasing the investment in the early years.	€10,000
Sharing the Journey Cabra Dublin 7	Towards a Parents Group for Parents of Children who are Deaf and Hard of Hearing	€2,000
Lifestart Foundation Sligo	Towards the Spirals parenting programme for parents of children aged from birth to three years of age.	€5,000
<b>Total 2011</b>		<b>€17,750</b>

<b>Grants awarded 2012</b>		
<b>Name of Organisation</b>	<b>Grant Purpose</b>	<b>€</b>
Start Strong Dublin 2	Towards core costs of an advocate organisation for increasing the investment in the early years	€10,000
Acorn Project – Barnardos Tallaght Dublin 24	Towards the Acorn Parent Coaching Service	€10,000
Common Ground / Early Childhood Ireland Ballyfermot Dublin 10 & Inchicore Dublin 8.	Towards the evaluation of an early year's music programme	€1,500
Sharing the Journey Cabra Dublin 7	Towards parents attending an International Congress on Family-centred Early Intervention for Children who are Deaf and Hard of Hearing	€1,000

<b>Name of Organisation</b>	<b>Grant Purpose</b>	<b>€</b>
The Jesuit Centre for Faith and Justice Dublin 1	Towards the Scribani 2012 Conference, "Re-imagining Imprisonment in Europe"	€800
UCD Foundation Dublin 4	Towards a report on the research project: "A Review of the International Literature On Child Protection and Children in State Care"	€1,500
Men's Development Network Waterford	Towards the White Ribbon Campaign Conference as an initiative of Action Against Violence Against Women	€1,500
Marriage Equality Dublin 1	Towards support for young people who wish to share the lived experiences of children of same sex couples	€800
Exchange House Dublin 1	Towards Educational Achievement Award ceremony for members of the Traveller community	€1,000
Children's Rights Alliance Dublin 2	Towards the production of a film on Children's Rights from a young person's perspective	€1,500
Early Childhood Ireland & Kid's Own Publishing Partnership Dublin & Sligo	Towards a touring lullaby programme in Early Childhood Care and Education (ECCE) centres	€1,500
<b>Total 2012</b>		<b>€31,100</b>

## **Other Key Areas of KHF's Work:**

KHF is also directly involved in a range of other organisations and structures identified as important and relevant to the Foundation's vision and which are deemed to contribute to the implementation of its overall aim of supporting children and families with a particular focus on the early years.

### **Childhood Development Initiative (CDI):**

CDI Tallaght was established in late 2003 to develop a solutions-based 10 year strategy to improve the health, safety and learning of the children of Tallaght West. It is an innovative, community based response to a comprehensive consultation process. A major investment of €15 million was made, co-funded by the Department of Children and Youth Affairs (DCYA), through the Prevention and Early Intervention Programme (PEIP) Fund, and The Atlantic Philanthropies (AP). The initiative includes rigorous evaluations, and considerable attention is being given to quality assurance, promotion of reflective practice and professional training and support.

KHF has had an ongoing relationship and role in supporting the work of CDI which has included an advisory role with AP in relation to the development of PEIP and CDI Tallaght West. KHF also designed, developed and implemented a small grants programme known as the Tallaght West Small Grant Programme (TWSGP) in 2004 in partnership with AP. The learning from the TWSGP was widely disseminated and used to inform the CDI

strategic plan. KHF was also a member of the CDI Expert Advisory Group on early years, a board member, a member of several board sub-committees and in 2009 KHF's Development Director took on the role of chair of the CDI board and continued in this role until June 2012.

### **Joseph Rowntree Charitable Trust (JRCT):**

The JRCT is an independent organisation committed to funding radical change towards a better world. The JRCT makes grants to individuals and to projects seeking the creation of a peaceful world, political equality and social justice. It chiefly supports work undertaken in the UK and Ireland. KHF has always had a positive relationship with JRCT, particularly in the early years of the Irish Funders Forum (and subsequently Philanthropy Ireland) and through the membership of the Association of Charitable Foundations (ACF). KHF was co-opted onto the JRCT Ireland (grants) Committee in October 2008 for a six year period.

**Start Strong:** Start Strong was originally founded in 2004 as the Irish Childcare Policy Network (ICPN), a coalition of organisations and individuals interested in progressing childcare and early learning policies in Ireland. ICPN evolved into Start Strong in 2009 with the aims of progressing the early care and education (birth-6 years) agenda in Ireland and also advocating for increased investment in support services. KHF was a founder member

of ICPN and a funder since its start-up phase. The Foundation continues to provide funding to Start Strong, along with The Atlantic Philanthropies and the Community Foundation for Ireland. KHF maintains a close working relationship with the organisation and the other funders.

**National Early Years Access Initiative (NEYAI):** NEYAI is a four-year initiative 2011-2014, which was set up to find ways of improving services for children from birth-6 years by evaluating the innovative work of 11 NEYAI projects. This is a collaborative initiative created through partnership and funding between The Atlantic Philanthropies, the Mount Street Club Trust, the Department of Children and Youth Affairs, the Department of Education and Skills and Pobal. KHF's

Development Director is a member of the steering group since the start up of the NEYAI.

**The Centre for Effective Service (CES):** KHF is a member of the CES Special Interest Group- supporting parents in their parenting role. The group was established in 2010 to create a unique space for developing thinking about issues that are relevant to parents in their parenting role, building bridges between people, groups and agencies, adding value to existing work and networks and developing a strategy that ensures parenting is highly valued and supported. This is an all-Ireland group and includes CEOs, funders and public officials, professional bodies and practitioners engaged in direct work and academics and professional researchers working in this sector.

## **Networking**

- **Philanthropy Ireland (PI):** Philanthropy Ireland is the Association of independent philanthropic organisations in Ireland including grant making trusts and foundations. KHF was a founder member of PI (formerly the Funder's Forum) and a KHF Trustee was a member of the board since it was established until July 2012. KHF is also a member of a sub-committee of Philanthropy Ireland which commissioned a short research report on small grants programmes in Ireland. This research will be completed in 2013 and will be available at [www.philanthropy.ie](http://www.philanthropy.ie)
- **Association of Charitable Foundations (ACF):** The Association of Charitable Foundations is the UK wide support organisation for grant-making trusts and foundations of all types. It was formed in 1989 and includes associate membership for organisations based outside of the UK. The membership of over 300 includes many large independent foundations; local and community trusts; family trusts; corporate foundations; and broadcasting appeals. Although the core of ACF's membership is drawn from grant-making trusts, it also includes many member foundations that are increasingly involved in other activities, especially research, policy and influencing work, but also venture philanthropy and social investment. KHF has been a member for almost 15 years and has attended regular seminars, conferences and



professional development training. In 2012 KHF joined with ACF members, based in Northern Ireland, to form a working group to consider the subject of impact measurement. This working group has formal connections with the UK Funders for Impact Working Group and the Inspiring Impact Programme. This work is ongoing and more information can be found on [www.inspiringimpact.org](http://www.inspiringimpact.org)



(Image courtesy of Start Strong *Shaping The Future* April 2013)

## Summarised Financial Statements 2012 Katharine Howard Foundation

(Established by Trust Deed in 1979)

Income and Expenditure			Summary Balance Sheet		
<b><u>Income</u></b>	2012	2011		2012	2011
	€	€		€	€
Investment Income	242,692	216,825	Financial Assets	6,397,181	5,644,439
<b>Total Income</b>	<b>242,692</b>	<b>216,825</b>	Current Assets	290,494	207,523
			Current Liabilities	-15,837	-10,020
			<b>Total Assets</b>	<b>6,671,838</b>	<b>5,841,942</b>
			<b>Total Funds at 31st Dec 2012</b>	<b>6,671,838</b>	<b>5,841,942</b>
<b><u>Expenditure</u></b>			The financial information on this page has been extracted from The Katharine Howard Foundation's Audited Financial Statements for the year ended 31 December 2012 which were approved by the Trustees on 28 May 2013.		
Grants Allocated	31,100	17,750			
Development Work	60,454	60,391			
Governance Costs	5,745	4,650			
Cost of Generating Funds	15,897	8,186			
Support Costs	42,499	57,442			
<b>Total Expenditure</b>	<b>155,695</b>	<b>148,419</b>			
<b>Net incoming resources before other recognised gains/losses</b>	<b>86,997</b>	<b>68,406</b>			